Tutorial on Electrochemical Modeling

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"The head cannot take in more than the seat can endure."

— Winston Churchill



LESSON PLAN: 3 X 50-MINUTE PRESENTATIONS

- 1. Introductions
- 2. Fundamentals of modeling
- 3. Particle-based models

Linear and nonlinear phenomena

15minute breaks

Porous electrode battery models

1. INTRODUCTIONS A LITTLE ABOUT YOU

Where are you living now?

- Europe
- Africa

How many years studying electrochemistry (so far)

- 1 or less
- 2 to 4
- 5 to 10
- 11 or more

Where do you work?

- University
- Industry
- Government

Your mathematical comfort level

- Algebra
- Basic calculus
- Partial differential equations

What do you want to get out of this tutorial?

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1. INTRODUCTIONS A LITTLE ABOUT ME



2. FUNDAMENTALS OF MODELING WHY DO WE MAKE MATHEMATICAL MODELS?

- Save time and money by avoiding experiments
- Get information not directly measurable V = IR
- Allow for computer control of system
- Demonstrate fundamental physical insight do you really understand your system or not?
- Because it's fun!



A good model allows for accurate **extrapolation**, not just interpolation and smoothing

2. FUNDAMENTALS OF MODELING PARAMETERIZATION (I.E. FITTING, REGRESSION)

- Models have fitting coefficients or parameters
- How many degrees of freedom to use?
 - The minimum needed! (Occam's razor)
 - Sensitivity analysis
 - Confounding in nonlinear systems
- Orthogonal experiments
- Training vs. validation data set



2. FUNDAMENTALS OF MODELING COMPLEXITY VS. SIMPLICITY

- Complex system = more than the sum of its parts
- Paul Valéry's conundrum: "Everything simple is false. Everything complex is unusable."
- George Box's resolution: "All models are wrong, but some are useful."
- John Hedengren: "A model is not a set of equations; it is a set of assumptions."

2. FUNDAMENTALS OF MODELING ALL MODELS ARE WRONG...

- Is the wrong part important or not? PV=nRT
- Be a skeptic of your own model: what are its limits?
- Where do we want to be on this plot?



2. FUNDAMENTALS OF MODELING TYPES OF ELECTROCHEMICAL MODELS



Size scale ->

Applications

- Sensors
- Corrosion
- Electroplating
- Batteries
- Fuel cells
- Chemical synthesis
- Biological systems
- Colloids

Which of these systems are of most interest to you?

LESSON PLAN

- 1. Introductions
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Porous electrode battery models

3. PARTICLE-BASED MODELS A. MOLECULAR DYNAMICS SIMULATIONS OF THE ELECTROCHEMICAL DOUBLE LAYER



Guymon et al., *Cond. Matt. Phys.* 8, 335-356 (2005). Guymon et al. *J. Chem. Phys.* 128, 044717 (2008)

PURE WATER ON FLAT ELECTRODES: POTENTIAL AND DENSITY PROFILES



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WATER LAYERS ON CU(111)

1st Layer



2nd Layer





neutral surface

FLAT ELECTRODE



Na⁺ Cl⁻

ION DENSITY PROFILES FOR SYMMETRIC SYSTEM



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FLAT ELECTRODES, $\Delta V=3.6V$



x, Å

STEPPED ELECTRODE



SAWTOOTH ELECTRODE



3. PARTICLE-BASED MODELS B. MOLECULAR DYNAMICS SIMULATIONS OF DIFFUSION PROCESSES IN ELECTROLYTE

- You compute all positions, velocities, and forces for a few thousand molecules/ions
- Can get multiple thermodynamic and transport properties from one simulation, if you have the right algorithms
- Forcefield must be accurate if want to match to experiment



Wheeler and Newman, J. Phys. Chem. B 108, 18362-18367 (2004). Wheeler and Newman, J. Phys. Chem. B 108, 18353-18361 (2004). Newman at al., J. Power Sources 119, 838-843 (2003).

1M LiPF₆ in PC



Watch the dynamics of the solvent and ions in this equilibrium simulation

Macroscopic Framework: Concentrated Solution Theory

- n(n-1)/2 independent transport coefficients for an isothermal n-component system.
- Three equivalent ways to represent mass transport coefficients.

e.g. for a binary salt (+ -) in solvent (0):



$$\begin{bmatrix} L^0_{++} & L^0_{--} & L^0_{+} \end{bmatrix}$$



Nonequilibrium MD allows simultaneous Orthogonal 'Experiments'

Body forces placed on particular speces



One simulation can resolve up to 6 pairwise diffusion coefficients (n = 4).

Viscosity LiPF₆ in PC



Dielectric Constant: LiPF₆ in EC



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Electrical Conductivity LiPF₆ in EC



Cation Transference Number LiPF₆ in EC



Salt Diffusion Coefficient: LiPF₆ in EC



3. PARTICLE-BASED MODELS C. SIMULATING MICROSTRUCTURE DURING ELECTRODE MANUFACTURING



- Forouzan et al., J Power Sources 312, 172 (2016)
- Nikpour et al. J Electrochem Soc, 168, 060547 (2021)
- Nikpour et al. J Electrochem Soc, 168, 120518 (2021)
- Nikpour et al., Batteries, 8, 107 (2022)

Diagram from w3.siemens.com

DISCRETE ELEMENT METHOD (DEM) MODEL OF DRYING PROCESS





Dried film simulation

Toda NMC523 cathode

Volume Fractions of Active Film

Domain	SEM/FIB	Sim
Active	0.443	0.402
CBD	0.367	0.376
Macropore	0.190	0.222

Experimental dried film





Forouzan et al., J Power Sources 312, 172 (2016)





FILM COATING SIMULATIONS



FILM DRYING SIMULATIONS WITH 3 PARTICLE TYPES





FILM CALENDERING SIMULATIONS





Active Particle

BREAK TIME

WE WILL START AGAIN AT 14:35

LESSON PLAN

- 1. Introductions
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Linear and nonlinear phenomena

- 4. Linear equivalent-circuit models
- 5. Nonlinear phenomena
- 6. Battery background

Porous electrode battery models

Some Resources



Electrochemical Engineering



Electrochemistry and Electrochemical Engineering

An Introduction


4. EQUIVALENT-CIRCUIT MODELS

- Electrochemical cell = electrical circuit
- Ions act like electrons, but with differing charge



Numbers indicate positions in electrode or electrolyte

4. EQUIVALENT-CIRCUIT MODELS

- See if you can rationalize the relative potentials at each position
- Is this an electrolytic (needs power) or galvanic (battery) cell?



The *diff* curve subtracts the open circuit potential (*I*=0) from the other curve (*I*>0)

4. EQUIVALENT-CIRCUIT MODELS

- The system can be described as a voltage source and a series of resistors *
- Which resistors are least/most significant?



*with parallel capacitors

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 $Z_R = R$

- Electrochemical Impedance Spectroscopy (EIS) = AC signal across cell
- Randles cell model



•
$$Z = R_1 + \frac{1}{\frac{1}{R_2} + j\omega C}$$
 is
impedance, a complex
resistance

4. EQUIVALENT-CIRCUIT MODELS EIS

 Nyquist plot is often used to describe response of the system to an EIS test with multiple frequencies (around 1 mHz to 1MHz)



 Equivalent circuits can get a lot more complicated to describe more complicated conditions or results

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EQUIVALENT CIRCUIT EXAMPLE: BLOCKING ELECTROLYTE METHOD FOR MEASURING ELECTRODE TORTUOSITY



Pouraghajan et al., J Electrochem Soc 165, A2644 (2018). Pouraghajan et al., J Power Sources 492, 229636 (2021) Liu et al., J Electrochem Soc 169, 010517 (2022)

- A blocking electrolyte (no Li+) stops the faradaic reaction
- For porous electrodes, the EC has two or more "rails" like this and is called a transmission line model (TLM)



BLOCKING ELECTROLYTE METHOD



BLOCKING-ELECTROLYTE CHALLENGES



Nyquist impedance plot of blocking electrolyte method for cycled anode.

BLOCKING-ELECTROLYTE CHALLENGES



4. EQUIVALENT-CIRCUIT MODELS SUMMARY

- ECs and TLMs are intuitive and *linear* models
- They work for *stationary* situations (either DC or AC after transients have died down)
- They work when cell currents/overpotentials are
 low
- EIS spectra are easy to measure; *interpretation* can be quite difficult in real-world cases (e.g. porous electrodes) with many circuit elements

5. NONLINEAR PHENOMENA IN ELECTROCHEMICAL SYSTEMS

What are some phenomena in electrochemistry that are nonlinear (and would be included in a model)?

- Nernst Equation (effect of concentrations on OCP)
- Butler-Volmer Kinetics
- Concentration overpotential and transient diffusion of ions



• Others?

6. BATTERY BACKGROUND

1786 Luigi Galvani





2024 science fair battery

1799 Alessandro Volta



Image sources: David Ames Wells, GuidoB/Wikipedia

How to Make Batteries Work Better?

- Change the electrode chemistry
- Change the electrode geometry





Image source: electronicdesign.com

KINDS OF RECHARGEABLE BATTERIES

- Lead acid (invented 1859) \$100/kWh
- Nickel cadmium, Ni metal hydride \$300/kWh
- Lithium-ion (commercialized 1990, light, long-lasting)
 \$100/kWh

- Other chemistries in development (5-10 years away)
- Warranty is big factor in commercialization









ENERGY AND POWER CONNECTED BY DISCHARGE TIME (RAGONE PLOE)



Source US Defence Logistics Agency

One of the key parameters the battery engineer must know is charge/discharge time

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WHAT IS A LI-ION BATTERY?

Lithium ions intercalate in interstitial lattice sites or alloy with other materials



1990: $Li_xC_6 (0 < x < 1)$ $Li_yCoO_2 (0.4 < y < 1)$ 1997: $Li_xC_6 (0 < x < 1)$ $Li_yFePO_4 (0 < y < 1)$ newer: $Li_xSi (0 < x < 3.75)$ $Li_yNi_aMn_bCo_cO_2 (0.4 < y < 1)$

POROUS ELECTRODE MICROSTRUCTURE

SEM/FIB cross section of LCO cathode



Concept of carbon binder domain (CBD)

Stephenson et al., J. Electrochem. Soc. 158, A781 (2011)

OPTIMIZATION: TRADEOFFS IN ELECTRODE DESIGN



Lower transport resistances

Higher mass burden of inert materials (current collector + separator)

Thick



Higher transport resistances

Lower mass burden of inert materials (current collector + separator)

SAFETY IS AN ISSUE

Batteries store lots of energy in a small space
Fire can result because of "thermal runaway"
Need to reduce heat transfer from one cell to another





Image from slate.com

BATTERY LIFE IS AN ISSUE

"[device] failure is generally a statistical process, where life is determined by "hidden" variables over which we typically exercise little control. In batteries these variables could be microscale, such as heterogeneities within particles; or mesoscale, such as variation in local porosity or state of charge; or macroscale, such as the location in a pouch or cellto-cell variation in the timetemperature history in a pack."

Harris et al., J. Power Sources 342, 589 (2017)



Durability data of from 48 nominally identical Panasonic 18650 batteries. Baumhöfer et al., J. Power Sources 247 (2014) 332-338

EXPERIMENTAL EVIDENCE OF HETEROGENEITY

EDXRD on LFP pouch cell to determine local state of charge



Paxton et al., J. Power Sources 275, 429 (2015)

DELAMINATION OF FILMS FROM CURRENT COLLECTOR REVEALS GROWING MECHANICAL HETEROGENEITY DURING CYCLING



Pouraghajan et al., J Power Sources 492, 229636 (2021)

HETEROGENEITY IN LI-PLATING FOR HIGH-RATE CHARGING



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- 7. Pseudo-2D (P2D) model
- 8. Variations to P2D

POROUS ELECTRODE MICROSTRUCTURE

FIB/SEM cross section

Materials are not uniformly distributed

- Active material
- Carbon
- Binder
- Pores





7. PSEUDO-2D MODEL P2D = NEWMAN-TYPE MODEL = DFN MODEL = DUAL FOIL MODEL = MACROHOMOGENEOUS MODEL = POROUS ELECTRODE THEORY + CONCENTRATED SOLUTION THEORY

Initially developed by John Newman and coworkers:

o Newman and Tiedemann, AIChE J. 21, 25-41 (1975).

o Doyle, Fuller, and Newman, J. Electrochem. Soc., 140,1526 (1993).

P2D MODEL CONCEPTS

• Homogenize conductivities
• Homogenize surface reaction
• Superposition of pathways



Ionic conductivity and diffusivity

electronic conductivity

Surface area for reaction

P2D MODEL CONCEPTS

o TLM representation for one electrode



P2D MODEL CONCEPTS

 Solid diffusion in radial direction coupled to ionic/electronic transport in x direction (cathode half cell shown here)



Stephenson et al., J Electrochem Soc 154, A1146 (2007)

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THE FOUNDATIONAL P2D EQUATIONS

- o Assume binary electrolyte, no advection
- 4 dependent variables: solid potential, electrolyte potential, electrolyte concentration, solid concentration

$$V \cdot \mathbf{i}_1 = -FaJ_+ \quad \mathbf{i}_1 = -\sigma_{\rm eff}V\phi_1$$

$$\nabla \cdot \mathbf{i}_2 = Faj_+ \qquad \mathbf{i}_2 = -\kappa_{\text{eff}} \nabla \phi_2 + \frac{2\kappa_{\text{eff}} RI}{F} (1 - t_+^0) \frac{1}{c} \nabla c$$

$$\epsilon \frac{\partial c}{\partial t} = D_{\rm eff} \nabla^2 c + \frac{1 - t_+^0}{F} F a j_+$$

$$\frac{\partial c_s}{\partial t} = D_s \nabla^2 c_s$$

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SUMMARY OF PROPERTIES NEEDED FOR SIMPLEST P2D MODEL

- Open circuit potential as function of state of charge U(y)
- Ion transport properties κ_{eff} , D_{eff} , t_{+}
- ${\rm o}$ Electron transport property $\sigma_{\rm eff}$
- Solid-state diffusion D_s
- Surface kinetic rate constant *ai*₀
- Thicknesses and porosities of layers

IONIC TRANSPORT EXPERIMENTS







- Delaminate electrode film from current collector
- Restricted-diffusion experiment on free-standing film to determine effective ionic conductivity and salt diffusivity

POLARIZATION-INTERRUPT EXPERIMENT: P2D MODEL USED TO INTERPRET EXPERIMENT



Thorat et al., *J. Power Sources* 188, 592 (2009).

CATHODE TORTUOSITY VS. POROSITY



Thorat et al., *J. Power Sources* 188, 592 (2009).

Zacharias et al., J. Electrochem. Soc. 160, A306 (2013).

OBSERVATION OF "DISTRIBUTION OF CONNECTIVITY"



Apparent time-increasing resistance at high rate: What is happening?

Could have

- High bulk resistance (ionic or electronic) to get moving reaction front
- 2. Distribution of particle types with varying local resistance

separator



collector
8. EXTENSIONS TO NEWMAN P2D MODEL
A. MULTIPLE PARTICLE TYPES/SIZES TO PROVIDE
"DISTRIBUTION OF CONNECTIVITY"



Stephenson et al., J. Electrochem. Soc. 154, A1146 (2007) Thorat et al., J. Electrochem. Soc. 158, A1185 (2011)

Thomas-Alyea, ECS Trans. 16, 155 (2008) Safari & Delacourt, J. Electrochem. Soc. 158, A63 (2011)

MODELING INTERPARTICLE CONTACT BETWEEN ACTIVE MATERIAL AND CARBON ADDITIVE



PARTICLE SIZES/TYPES IN LFP MODEL



Index (j)	$R_j(\mathrm{nm})$	m_{j}	$R_{cj}^{\prime\prime}~(\Omega~{ m cm^2})$
1	68	0.1615	2.6
2	68	0.3135	9.7
3	131	0.3500	12.0
4	131	0.1750	15.0

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Smoothed curve measured from TEM images on Phostech material

LFP MODEL VALIDATION: THIN CELL

34 um thick



LFP MODEL VALIDATION: MEDIUM CELL





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LFP MODEL VALIDATION: THICK CELL





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Model validation for $LiCoO_2$ electrode



Thick LiCoO₂ electrode

MANY ELECTRODE MATERIALS INVOLVE PHASE CHANGE



Li_xFePO₄ half cell

8. P2D MODEL EXTENSIONS B. TWO-PHASE MODEL



Srinivasan and Newman, J. Electrochem. Soc. 151, A1517 (2004) Thorat et al., J. Electrochem. Soc., 158, A1185 (2011) 81

MICROSTRUCTURES CORRELATE WITH ELECTRONIC IMPEDANCE HETEROGENEITY IN CATHODE FILMS

NMC cathodes were tested electronically and subsequently imaged using scanning electron microscopy (SEM) with focused ion beam milling (FIB). Areas with measured **high** and **low** conductivity show a significant difference in microstructure.







VIDEO COMPARISON OF HIGH- AND LOW-IMPEDANCE REGIONS – NMC 523





Low Impedance

High Impedance

8. P2D MODEL EXTENSIONS C. PARALLEL P2D MODELS TO DESCRIBE MISMATCH BETWEEN ELECTRODE REGIONS DUE TO HETEROGENEITIES



Forouzan et al., J Electrochem Soc 165, A2127 (2018). Hamedi et al., J Electrochem Soc 169, 020551 (2022).

DIVIDE ELECTRODES INTO SEPARATE HOT/MIDDLE/COLD REGIONS

 N_M stands for MacMullin Number, and ε_f is volume fraction of the filler (carbon additives and binder).

		Cold spot	middle spot	Hot spot
Negative electrode 43, 111	ϵ_N	0.37	0.35	0.33
	ε _f	0.01	0.03	0.05
	τ_N	2	5	8
	N_M	5.4	14.3	24.2
Positive electrode ⁵⁹ , ¹¹¹	ε _Ρ	0.37	0.35	0.33
	ε _f	0.01	0.03	0.05
	τ_P	1.5	3	4.5
	N_M	4.1	8.6	13.6



MODEL RESULTS FOR DIFFERENT REGIONS: CHARGING NMC-GR HETEROGENEOUS ELECTRODES



TENDENCY FOR LITHIUM PLATING DURING FAST CHARGE (5C)

Li can plate when overpotential negative

Plating occurs for growing regions in anode, beginning next to separator



SILICON ELECTRODES UNDERGO TREMENDOUS STRAIN

On full lithiation, active material has 275% volume change (2% for LCO ad 12% for graphite)



This plot shows large measured strains also of film

Hamedi et al, manuscript in preparation

8. P2D MODEL EXTENSIONS D. STRESS AND STRAIN FOR SILICON-BASED ELECTRODES



Hamedi et al, manuscript in preparation

THANK YOU FOR YOUR ATTENTION

COPY OF THESE SLIDES AVAILABLE AT www.et.byu.edu/~wheeler/ise